

Practitioner's Docket No. 49673 (72024)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Proost et al.

Serial No.: 09/537,859 Group No.: 1636
Filed: March 28, 2000 Examiner: I. Gansheroff
For: AMINO-TERMINALLY TRUNCATED MCP-2 AS CHEMOKINE
ANTAGONIST

Box Sequence
Assistant Commissioner for Patents
Washington, D.C. 20231

SUBMISSION OF "SEQUENCE LISTING," COMPUTER READABLE COPY, AND/OR
AMENDMENT PERTAINING THERETO FOR BIOTECHNOLOGY INVENTION
CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE

(check and complete this item, if applicable)

1. ☒ This replies to the Office Action mailed January 23, 2001

☒ A copy of the Office Letter is enclosed.

CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service in an envelope addressed to the
Assistant commissioner for Patents, Washington, D.C. 20231

37 CFR 1.8 (a)

37 CFR 1.10*

☒ with sufficient postage as first class mail. ☐ as "Express Mail Post Office to
Addressee"

Mailing Label No. _____ (mandatory)

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: February 22, 2001

Signature

Fatima H. DeArruda

(type or print name of person certifying)

*WARNING: Each paper or fee filed by Express Mail must have the number of the
"Express Mail" mailing label placed thereon prior to mailing. 37 C. F. R. 1.10(b). "Since the
filing of correspondence under §1.10 without the Express Mail mailing label thereon is an
oversight that can be avoided by the exercise of reasonable care, requests for waiver of this
requirement will **not** be granted on petition. "Notice of Oct.24, 1996, 60 Fed. Reg. 56,439, at
56,442.

(Submission--Nucleotide and/or Amino Acid Sequence [9-37]--page 1 of 6)



GP 1636
Box 588
#1004
IND 3/6/01
RECEIVED
MAR 01 2001
TECH CENTER 1600/2900

RECEIVED
MAR 06 2001
TECH CENTER 1600/2900

IDENTIFICATION OF DECLARANT

2. I, Cara Z. Lowen
(type or print name of declarant signing below)

state the following:

ITEMS BEING SUBMITTED

3. Submitted herewith is/are

(check each item as applicable)

- A. ☒ "Sequence Listing(s)" for the nucleotide and/or amino acid sequence(s) in this application. Each "Sequence Listing" is assigned a separate identifier as required in 37 C.F.R. § 1.821(c) and 37 C.F.R. §§ 1.822 and 1.823.
- B. ☐ An amendment to the description and/or claims, wherein reference is made to the sequence by use of the assigned identifier, as required in 37 C.F.R. § 1.821(d).
- C. ☒ A copy of each "Sequence Listing" submitted for this application in computer readable form, in accordance with the requirements of 37 C.F.R. §§ 1.821(e) and 1.824.
- D. ☐ Please transfer to this application, in accordance with 37 C.F.R. § 1.821(e), the computer readable copy(ies) from applicant's other application identified as follows:

In re application of:

Serial No.:

/

Group No.:

Filed:

Examiner:

For:

The Computer readable form(s) of applicant's other application corresponds to the "Sequence Identifier(s)" of the application as follows:

Computer Readable Form

"Sequence Identifier"

(other application)

(this application)

NOTE: "If the computer readable form of a new application is to be identical with the computer readable form of another application of the applicant on file in the Office, reference maybe made to the other application and computer readable form in lieu of filing a duplicate computer readable form in the new application. The new application shall be accompanied by a letter making such reference to the other application and computer readable form, both of which shall be completely identified" 37 C.F.R. 1.821(e)

- E. ☒ A statement that the content of each "Sequence Listing" submitted and each computer readable copy are the same, as required in 37 C.F.R. § 1.821(g).
- ☒ Because the statement is not made by a person registered to practice before the Office, the Statement is verified as required in 37 C.F.R. § 1.821(b).
- F. ☒ Because this submission is made in fulfilling the requirement under 37C.F.R. § 1.821(g), a statement that the submission includes no new matter.
- ☐ Because the statement is not made by a person registered to practice before the Office, the statement is verified, as required in 37 C.F.R. § 1.821(g).

STATEMENT THAT "SEQUENCE LISTING" AND COMPUTER READABLE COPY ARE THE SAME AND/OR THAT PAPERS SUBMITTED INCLUDES NO NEW MATTER

4. I hereby state:
(complete applicable item A and/or B)
- A. ☒ Each computer readable form submitted in this application, including those forms requested to be transferred from applicant's other application, is the same as the "Sequence Listing" to which it is indicated to relate.
- B. ☒ All papers accompanying this submission, or for which a request for transfer from applicants' other application, introduce no new matter.

VERIFICATION

5. NOTE: "Such a statement must be verified statement if made by a person not registered to practice before the Office." 37 C.F.R. § 1.821(f) and (g).
- ☐ I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

STATUS

6. Applicant is
- ☐ a small entity. A verified statement:
 ☐ is attached.
 ☐ was already filed.
 ☐ will follow.
- ☒ other than a small entity.

7.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run. Notice of Dec. 10, 1985 (1061 O.G. 34-35).

8. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(a) ☐ Applicant petitions for an extension of time under 37 C.F.R. 1.136 (fees: 37 C.F.R. 1.17(a)-(d)) for the total number of months checked below:

Extension (months)	Fee for other than small entity	Fee for small entity
[] one month	\$ 110.00	\$ 55.00
[] two months	\$ 380.00	\$ 190.00
[] three months	\$ 870.00	\$ 435.00
[] four months	\$ 1,360.00	\$ 680.00

Fee \$

(check and complete the next item, if applicable)

[] An extension for ___ months has already been secured. The fee paid therefor of ___ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ -0-

OR

(b) [X] Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

9. ☐ Attached is a check in the sum of _____
☐ Charge Account No. 04-1105 the sum of \$ _____
A duplicate of this transmittal is attached.

(Submission--Nucleotide and/or Amino Acid Sequence [9-37]--page 4 of 6)

FEE DEFICIENCY

10.

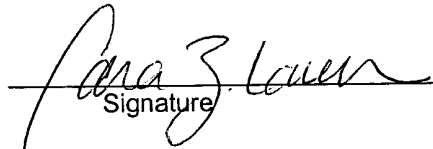
NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, 1065 O.G. 31-33.

11. ☒ If any additional extension and/or fee is required, charge
Account No. 04-1105

SIGNATURE(s)

Cara Z. Lowen

(type or print name of person signing declaration)


Signature

EDWARDS & ANGELL, LLP
130 Water Street
Boston, MA 02109
Telephone No. (617) 523-3400

- ☐ Inventor(s)
☐ Assignee of complete interest
☐ Person authorized to sign on behalf of assignee
☐ Practitioner of record
☒ Filed under Rule 34(a)
☒ Registration No. 38,227
☐ Other _____

(specify identity of declarant)